

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/33495	Date: October 25, 2016
Circular Ref. No.:- 0237/2016	

To All Members

This is further to Exchange Circular download ref no: NSE/INVG/31456 dated December 29, 2015 whereby trading members were advised to exercise caution towards unsolicited emails and SMS and were also requested to advise their clients to buy, sell or hold securities and trade only on the basis of informed decision.

In recent past, Exchange has come across SMS tips being circulated whereby as a festive bonanza, various stocks are being recommended with higher price targets leading to heightened trading activities in such stocks.

In view of above & as a part of surveillance measure to protect investor's interest and maintain market integrity, Exchange is once again advising members to exercise greater caution with respect to **tips / rumours circulated via various mediums such as analyst websites, social networks, SMS, WhatsApp, Blogs etc.** while dealing in the securities listed on the Exchange on behalf of their clients.

Trading Members are further requested to advise their clients not to blindly follow these unfounded rumours, tips etc. and invest after conducting appropriate analysis of respective companies.

Members and Investors are also requested to share their knowledge or evidence of systemic wrongdoing, potential frauds or unethical behavior through the anonymous portal facility provided on Exchange website: (http://www.nseindia.com/int_invest/dynacontent/any_portal.htm)

For National Stock Exchange of India Limited
Avishkar Naik

Chief Manager

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DEPARTMENT : INVESTIGATION	
Download Ref. No.: NSE/INVG/31456	Date: December 29, 2015
Circular Ref. No.:- 0358/2015	

To All NSE Members

Sub: Caution towards unsolicited emails and SMS

Trading members are requested to advise their clients and remain cautious on the unsolicited emails and SMS advising investor to buy, sell or hold securities and trade only on the basis of informed decision.

Further, Members and Investors are also requested to share their knowledge or evidence of systemic wrongdoing, potential frauds or unethical behaviour through the anonymous portal facility provided on Exchange website:

(http://www.nseindia.com/int_invest/dynacontent/any_portal.htm)

Members and Investors are advised to take note of the above.

In case of any further queries, requested to contact: Direct No: 022-26598417/18

For National Stock Exchange of India Limited

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Chief Manager

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