

**VOLUNTARY**

To,  
**KYC Department, 4<sup>th</sup> Floor**  
Marwadi Shares and Finance Ltd.  
"Marwadi Financial Plaza",  
Nana Mava Main Road,  
Off 150<sup>ft</sup> Ring Road,  
Rajkot – 360005 (Gujarat)

Date: \_\_\_\_\_  
From,  
Trading Code: \_\_\_\_\_  
Name: \_\_\_\_\_

Dear Sir,

**Sub: Authority to retain Fund and Securities towards Margin in Cash segment, F&O segment, Currency Derivative, Mutual Fund & any other segment.**

These additional clause(s)/documentation(s) are voluntary and at the discretion of the stock broker/ trading member and the client. The same are required in order to ensure running on a day to day basis between the stock broker/ trading member and the client. The client need not execute this document if he/she does not wish to. The client has the right to terminate the document. Any such clause introduced shall stand null and void if they dilute the responsibility of the stock broker or is in conflict with any of the clauses in the mandatory documents, Rules, Byelaws, Regulations, Notices, Guidelines and Circulars issued by SEBI and the stock exchanges from time to time.

With reference to above, I/We am/are dealing through you vide above said code on various stock exchanges. Due to exigency and paucity of time it will be inconvenient for me/us to follow the regular process of execution and submission of the required delivery instructions often and again within stipulated time to meet the pay-in, margin obligation etc.

In view of above, I/We hereby unconditionally authorize you to hold the securities received against my/our various payout with you, for security, margin, future pay-in etc, unless, I/We issue specific / oral instruction for transferring the securities into my/our demat account as recorded in the client registration form, after adjusting any dues, margin obligations etc. Further I/We hereby authorize you to deliver the securities so held for my/our subsequent pay-in obligations.

I/We further authorize you to pledge the securities in favour of Clearing Corporation, stock exchange(s) etc. for margin and /or pay in purposes, if so required.

I/we have noted the procedure of revocation of the this authorization is by submitting a physical letter to your registered office or in any manner as specified by Exchanges/ SEBI from time to time.

You are also requested to debit my/our account for all necessary charges in respect of transfer of securities from your account to meet my/our pay-in obligations or for transferring such securities into my/our demat account as per my/our request.

I/We hereby undertake to indemnify any claims, damage or any loss arising out of this request of mine/us and accepted in good faith by your organization.

Unless I/we withdraw this authorization, I/We hereby authorize you to continue to maintain my/our account for the securities on running account basis or such longer period as the governing authority may specify, from the date of this authorisation.

Thanking You,

\_\_\_\_\_  
(Signature)

\*Strike off whichever is not applicable/acceptable to you.